

UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF CALIFORNIA  
SAN FRANCISCO DIVISION

PLUMBERS & PIPEFITTERS LOCAL	)	Case No. 3:22-cv-03023-TLT (Securities
UNION #295 PENSION FUND, Individually	)	Case)
and on Behalf of All Others Similarly Situated,	)	
	)	<u>CLASS ACTION</u>
Plaintiff,	)	
	)	SUMMARY NOTICE
vs.	)	
	)	
CAREDX, INC., et al.,	)	
	)	
Defendants.	)	
_____	)	

***IF YOU PURCHASED CAREDX, INC. (“CAREDX”) COMMON STOCK DURING THE PERIOD BETWEEN MAY 1, 2020 AND NOVEMBER 3, 2022, INCLUSIVE (THE “CLASS PERIOD”), YOU COULD RECEIVE A PAYMENT FROM A CLASS ACTION SETTLEMENT. CERTAIN PERSONS ARE EXCLUDED FROM THE DEFINITION OF THE CLASS AS SET FORTH IN THE STIPULATION OF SETTLEMENT.***<sup>1</sup>

THIS NOTICE WAS AUTHORIZED BY THE COURT. IT IS NOT A LAWYER SOLICITATION. PLEASE READ THIS NOTICE CAREFULLY. YOUR RIGHTS MAY BE AFFECTED BY A CLASS ACTION LAWSUIT PENDING IN THIS COURT.

YOU ARE HEREBY NOTIFIED, pursuant to Rule 23 of the Federal Rules of Civil Procedure and Order of the United States District Court for the Northern District of California, that in the above-captioned litigation (the “Action”), a settlement has been proposed for \$20,250,000.00 in cash (the “Settlement”). A hearing will be held on December 2, 2025, at 2:00 p.m., before the Honorable Trina L. Thompson, at the United States District Court, Northern District of California, Philip Burton Federal Building & United States Courthouse, Courtroom 9 –

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<sup>1</sup> The capitalized terms not otherwise defined herein shall have the same meaning as they have in the Stipulation of Settlement (“Stipulation”). The Stipulation can be viewed and/or obtained at [www.CareDxSecuritiesLitigation.com](http://www.CareDxSecuritiesLitigation.com) (the “Settlement Website”), the Court’s Public Access to Court Electronic Records (PACER) system at <https://ecf.cand.uscourts.gov>, visiting the office of the Clerk of the Court, or by contacting the Claims Administrator or Lead Counsel as described herein. For the precise terms of the Settlement, please see the Stipulation and/or the Notice.

19th Floor, 450 Golden Gate Avenue, San Francisco, CA 94102, for the purpose of determining whether: (i) the proposed Settlement should be approved by the Court as fair, reasonable, and adequate; (ii) the proposed Plan of Allocation for distribution of the Settlement proceeds is fair, reasonable, and adequate and therefore should be approved; (iii) the application of Lead Counsel for the payment of attorneys' fees and expenses from the Settlement Fund, including interest earned thereon, and awards to Lead Plaintiffs should be granted; and (iv) the judgment as provided under the Stipulation should be entered dismissing the Action with prejudice.

The Court may adjourn the Settlement Hearing without further written notice of any kind to the Class. Class Members should check the Court's PACER site or the Settlement Website, [www.CareDxSecuritiesLitigation.com](http://www.CareDxSecuritiesLitigation.com). Any updates regarding the Settlement Hearing, including any changes to the date or time of the hearing or updates regarding in-person, telephonic, or video conference appearances at the hearing, will be posted to the Settlement Website.

**IF YOU ARE A MEMBER OF THE CLASS DESCRIBED ABOVE, YOUR RIGHTS MAY BE AFFECTED BY THE SETTLEMENT OF THE LITIGATION, AND YOU MAY BE ENTITLED TO SHARE IN THE NET SETTLEMENT FUND.** You may obtain a copy of the Stipulation, the longform Notice, and the Proof of Claim and Release Form ("Proof of Claim") at [www.CareDxSecuritiesLitigation.com](http://www.CareDxSecuritiesLitigation.com) or by contacting the Claims Administrator: *CareDx Securities Litigation*, c/o A.B. Data, Ltd., P.O. Box 173096, Milwaukee, WI 53217; 1-877-423-0707. Copies of the Notice and the Claim Form are also available by accessing the Court docket in this case, for a fee, through PACER or by visiting the Office of the Clerk of Court, United States District Court for the Northern District of California, Philip Burton Federal Building & United States Courthouse, 450 Golden Gate Avenue, San Francisco, CA 94102 or any other location of the Northern District of California between 9:00 a.m. and 4:00 p.m., Monday through Friday, excluding Court holidays.

If you are a Class Member, to be eligible to share in the distribution of the Net Settlement Fund, you must submit a Proof of Claim by mail received no later than November 12, 2025, or

submit it online by that date.<sup>2</sup> If you are a Class Member and do not submit a valid Proof of Claim, you will not be eligible to share in the distribution of the Net Settlement Fund, but you will still be bound by any judgment entered by the Court in this Action (including the releases provided for therein).

To exclude yourself from the Class, you must mail a written request for exclusion such that it is **received by October 14, 2025**, in the manner and form explained in the Notice. If you are a Class Member and have not excluded yourself from the Class, you will be bound by any judgment entered by the Court in this Action (including the releases provided for therein) whether or not you submit a Proof of Claim. If you submitted a valid request for exclusion, you will have no right to recover money pursuant to the Settlement.

Any objection to the proposed Settlement, the Plan of Allocation, or the fee and expense application must be filed with the Court no later than October 14, 2025.<sup>3</sup>

**PLEASE DO NOT CONTACT THE COURT, THE CLERK'S OFFICE, DEFENDANTS, OR DEFENDANTS' COUNSEL REGARDING THIS NOTICE.** If you have any questions about the Settlement, or your eligibility to participate in the Settlement, you may contact the Claims Administrator or Lead Counsel at the following addresses or by calling 1-800-449-4900:

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<sup>2</sup> Proofs of Claim, requests for exclusion, and objections that are legibly postmarked will be treated as received on the postmark date.

<sup>3</sup> You can ask the Court to deny approval by filing an objection. You cannot ask the Court to order a different settlement; the Court can only approve or deny the Settlement and cannot change the terms. If you file a timely written objection, you may, but are not required to, appear at the Settlement Hearing, either in person or through your own attorney. If you appear through your own attorney, you are responsible for hiring and paying that attorney. All written objections and supporting papers must clearly identify the case name and number (*Plumbers & Pipefitters Local Union #295 Pension Fund v. CareDx, Inc., et al.*, No. 3:22-cv-03023-TLT (N.D. Cal.)), and include all information required by the Court as detailed in the Notice.

ROBBINS GELLER RUDMAN & DOWD LLP JASON C. DAVIS Post Montgomery Center One Montgomery Street, Suite 1800 San Francisco, CA 94104 settlementinfo@rgrdlaw.com	SAXENA WHITE P.A. LESTER R. HOOKER 7777 Glades Road Suite 300 Boca Raton, FL 33434 lhooker@saxenawhite.com
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DATED: AUGUST 14, 2025

BY ORDER OF THE COURT  
UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF CALIFORNIA